META-XENAKIS

New Perspectives on Iannis Xenakis's Life, Work, and Legacies

Edited by Sharon Kanach and Peter Nelson





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Cover image: Iannis Xenakis at the C.R. MacIntosh Museum, Glasgow, Scotland, 1987. Photo by Henning Lohner, courtesy of CIX Archives, Lohner collection.

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Xenakis

Project

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25. La Légende de Xenakis: Meta Xenakis

Curtis Roads



Fig. 25.1 Personal communication from Iannis Xenakis to the author (1985).

This is a personal account of the impact Iannis Xenakis had on my life over several decades.¹ To be clear, I am not an expert on Xenakis's life. These recollections view Xenakis through the narrow lens of my encounters with him. It has been wonderful to sift through my memories to reconstruct this narrative.

¹ A version of this chapter also appears as Roads 2024. Sections are reprinted by permission of mdwPress. As a tribute to Iannis Xenakis, this text appears in a number of forms. This version was presented by Curtis Roads remotely as the closing keynote during the New York tranche of the Meta-Xenakis Global Symposium.

To begin, it is important to describe the historical milieu of my earliest encounter with Xenakis. In 1970 I was a nineteen-year-old professional musician living in a commune with twenty-four other people in Urbana-Champaign, Illinois—the home of the University of Illinois. I was learning about the music business and becoming less and less interested.

At the same time, my aesthetic perspective was rapidly evolving. I was going to concerts of classical music at the university but also concerts of new experimental music. On my own I was experimenting with sounds using available equipment.

In a lucky twist of fate, in this period the University of Illinois was a pioneering center for research in electronic and computer music. At the invitation of a graduate student friend, I started working in the University of Illinois Experimental Music Studio (EMS). The EMS was an excellent facility with a professional mixing console, four-track tape recorders, a large Moog synthesizer, and quadraphonic playback. My friend and I started making tape music pieces that we would play in various venues.



Fig. 25.2 DEC PDP-8 computer, author unknown.

The EMS also had a Digital Equipment Corporation (DEC) PDP-8 computer. It was the model with glass doors displaying the circuit boards. It was love at first sight for me. I saw the computer as a way to combine my intellectual and musical aspirations. I did not yet have a clear idea of exactly what this meant; I knew only that this direction seemed ripe with possibilities.

I met Professor Herbert Brün (1918–2000), a pioneer of algorithmic composition and experimental digital synthesis, Professor James Beauchamp (1937–2022), a pioneer of computer sound analysis and synthesis, and researcher Edward Kobrin, a pioneer of real-time interactive composition. They were all generous with their time. I was given a printout of Max Mathews's (1926–2011) MUSIC V program, written in Fortran, which I still have.

,	264	J4=L1+J3+1 TN1=T(J4)	00541000
	265	J5=L2+J3=1	00542000
		I(J5) = IN1 + I(J5)	00544000
		IF(121-E0-0) GD TD 270	00545000
		ISAM=I(J5)	00546000
		IF(ISAM.GT.ICHECK.DR.ISAM.LTICHECK) GD TD 90	00547000
	270	CONTINUE	00548000
		IF(TIME(2).GE.I(28)) RETURN 1	00549000
		RETURN	00550000
	90	CALL PAGE(1)	00551000
		ISAM=ISAM*SFI	00552000
1		PRINT 69, ISAM, NSAM, T	00553000
	69	FORMATC' SAMPLE OUT OF RANGE HAD VALUE'. IS. SAMPLE WAS IN BLOCK	000554000
		-F', 14, SAMPLES PRECEDING ACTION TIME', F11.4)	00555000
		GO TO 270	00556000
	С	OSCILLATOR	00557000
	C	THIS OSCILLATOR ACCEPTS NEGATIVE FREQUENCY INPUTS	00558000
	102	SUM=FLOAT(I(L5))*SFT	00559000
		IF(M1)280,280,281	00560000
	280	AMP=FLOAT(T(L1))*SFT	00561000
	281	IF (M2)282.282.283	00562000
	282	FREQ=FLOAT(I(L2))*SFI	00563000
	283	CONTINUE	00564000
		LIM=L4+511	00565000
		D0293J3=1*NSAM	00566000
		J4=INT(SUM++5)+L4	00567000
		IF (J4.LT.LA.OR.JA.GT.LIM) GD TO 295	00568000
		F=FLOAT(I(J4))	00569000
		IF(M2)285+285+286	00570000
9	285	SUM=SUM+FRF0	00571000
		6010290	00572000
	286	J4=L2+J3=1	00573000
	-	SUM=SUM+FLOAT(I(JA))*SFI	00574000
	290	IF (SUM+LT+0+) SUM=SUM+YNFUN	00575000
		IF (SUM-XNFUN)288.287.287	00576000
	287	SUM=SUM=XNFIIN	00577000
	288	J5=L3+J3-1	00578000
		IF(M1)291,291,292	00579000
	291	I(J5) = IFIX(AMP*F*SFXX)	00580000
		G0T0293	00581000
	292	$J_{6} = L_{1} + J_{3} = 1$	00582000
		I(J5)=IFIX(FLOAT(I(J6))*F*SFF)	00583000
	293	CONTINUE	00584000
		TH SARTETY CHARGETON	00504000

Fig. 25.3 A printout of the author's copy of MUSIC V, written in the FORTRAN IV language (1971).

At the same time, I was becoming familiar with the music of Xenakis through vinyl recordings, beginning with *Metastasis* (1953–4), *Pithoprakta* (1955–6), and *Eonta* (1963), the electronic works *Concret PH* (1958), *Diamorphoses* (1957), *Orient-Occident* (1960), and *Bohor* (1962), and the orchestra and tape piece *Kraanerg* (1968–9).





Fig. 25.4 Xenakis on vinyl. (a) Vanguard Records, 1967 release; (b) Xenakis electroacoustic music on Nonesuch Records, 1970 release, artists unknown.

First Encounter with Xenakis

In 1972 I saw a poster for Xenakis's short course in Formalized Music at Indiana University (see Figure 25.5). I decided to enroll. Xenakis lectured at a blackboard, detailing his theories in mathematical terms. In between the lectures he played his pieces at considerable volume over four Altec-Lansing Voice of the Theater loudspeakers. Xenakis's computer programming assistant, Cornelia Colyer (1947–2003), took us to the campus computer center to show us large plots of waveforms produced by Dynamic Stochastic Synthesis.²

2 Xenakis, 1971, p. 247.



Fig. 25.5 Poster for the Seminar on Formalized and Automated Music, May 17–19, Indiana University School of Music (1972).

This encounter with Xenakis was life changing. It gave me a clear focus and direction. The idea of using algorithmic processes in music composition attracted me from an intellectual standpoint as a formidable design problem. I came away from Xenakis's course with two specific goals:

- First, I wanted to learn how to program computers to model stochastic processes for composition. For the design of new structures such as what Edgard Varèse (1883–1965) called *sound masses* and Xenakis called *clouds*, a stochastic model seemed an appropriate starting point.
- Second, I was intrigued by the concept of granular synthesis of sound. We heard no sound examples, but the theory fascinated me.

In the summer of 1972, I visited Stanford University in California. John Chowning (b. 1934) gave me a tour of the Stanford Artificial Intelligence Laboratory where the computer music center was housed. It was a revelation. I saw advanced technology that was ten years ahead of its time.

I began to learn computer programming languages. The first was FORTRAN IV, in order to analyze Xenakis's Free Stochastic Music program. I created a flow chart based on the analysis.³

In the fall of that same year, I enrolled as a student in music composition at California Institute of the Arts (CalArts) in Los Angeles. CalArts had just opened so everything was new and exciting. The faculty could not understand why I was interested in Xenakis's methods, but my fellow student composers did.

In that period, CalArts had a single computer: a Data General Nova 1200 with a Teletype keyboard, printer, and paper tape reader. I studied with the mathematician Leonard Cottrell. He taught us about assembly-language programming and digital circuit design. I began to write programs that implemented the formulas in *Formalized Music.*⁴ Then I started writing my own composition algorithms.



Fig. 25.6 Poster for *Polytope de Cluny* (1972), from author's private collection.

3 Roads, 1973.

4 Xenakis, 1971.

In 1973, I flew to Paris to attend the Festival d'Automne. The main goal of my visit to Paris was to experience Xenakis's sound and light spectacle *Polytope de Cluny* (1972) in the medieval Musée de Cluny.



Fig. 25.7 Ticket for *Polytope de Cluny* (1972), from author's private collection.

Polytope de Cluny was experienced lying on one's back, looking up. A roboticallycontrolled laser projection system created moving geometric forms. A novel aspect of this movement was its stepped motion rather than smooth motion. This highlighted the quantized nature of robotic control, which was entirely new at the time. High on the ceiling was a metal grid with hundreds of flashbulbs also programmed by a digital script. Meanwhile the intense twenty-seven-minute octophonic tape of *Polytope de Cluny* filled the hall. I experienced the *Polytope* eight times. The design was extremely impressive both technically and aesthetically.⁵

In Paris I also attended lectures and concerts featuring Karlheinz Stockhausen (1928–2007). This included his disappointing *Hymnen for Orchestra* (1966–7). The contrast between Xenakis's direction and Stockhausen's direction was starkly different, as time would tell.

Returning to California, I was determined to synthesize granular sound by computer. I left CalArts for the University of California, San Diego (UCSD) where they had a computer science department and a working computer sound synthesis system. Later I will talk about my involvement with granular synthesis by computer.

In 1980 I moved to Cambridge, Massachusetts to work at Massachusetts Institute of Technology (MIT). I was editor of the *Computer Music Journal* and a researcher at the MIT Experimental Music Studio (EMS). The technology of sampling had just become available. We had an exotic new analog-to-digital converter from the Santa Barbara-based Digital Sound Corporation and a primitive command line software tool for sampling written by a student. The studio's 300 Mbyte disc was shared by a dozen users, so space for storing samples was extremely limited. Nonetheless, I

⁵ For more information and some visuals of the *Polytope de Cluny*, see Xenakis, 2008, Chapter 4.1, p. 225–31.

managed to sample alto saxophone tones as well as percussion. I wrote a program in C to create granular clouds that moved around the four loudspeakers of the studio. The percussion clouds sounded like drum rolls. This was the first implementation of granular sampling.



Fig. 25.8 (a) cover of *Composers and the Computer* (1985); (b) Xenakis's "Music Composition Treks" in *Composers and the Computer* (1985).

In 1981, the IRCAM (Institut de Recherche et Coordination Acoustique/Musique) center in Paris organized a conference on "The Composer and the Computer."⁶ While there, I took a side trip to visit the CEMAMu (Centre d'Etudes de Mathématique et Automatique Musicales) center in Issy-les-Moulineaux. I witnessed a demonstration of the UPIC (Unité Polyagogique Informatique de CEMAMu) system by Xenakis and his assistant Colyer. Guy Médigue (b. 1935), the lead engineer of the UPIC, was also present.

Three years later the 1984 International Computer Music Conference was held in Paris. Once again, I visited CEMAMu. Following this visit, I asked Xenakis to contribute to my book *Composers and the Computer*, published in 1985. He wrote the excellent essay "Music Composition Treks" for this anthology.

In the summer of 1987, I had a residency as a visiting composer at the CEMAMu, working with the UPIC system. The 1987 version of the UPIC system allowed one to play not just synthetic waveforms, but also sampled sounds for the first time. I brought a tape of alto saxophone tones. My hand-drawn UPIC scores easily created saxophone *glissandi* that would be difficult to achieve using the MUSIC-N style programming languages of the time.

Of course, in this period, working with the UPIC was a slow, multi-step process. You had to draw a score using ink on a large roll of paper, then manually trace every line in order to enter it into the computer, a process called *digitizing*. Then you would give the command to start calculating the sound. The UPIC software ran on a Thomson Solar minicomputer, which had a clock speed of 7 MHz. Thus, rendering a complex page to sound took considerable time.



Fig. 25.9 Thomson Solar 16-40 minicomputer. Photo by Damien.b (2022), *Wikimedia Commons*, CC BY-SA, https://commons.wikimedia.org/wiki/File:Solar16-40-ACONIT.jpg

⁶ Roads, 1981.

Soon after this, I began my teaching career with a series of visiting faculty positions. In 1991 Pierre Boulez (1925–2016) stepped down from the leadership of IRCAM. As part of the regime change, I was invited to manage the software documentation service and teach in the pedagogy department.

In 1993 I departed IRCAM and was invited to teach at Les Ateliers UPIC by Gerard Pape (b. 1955). It was like a homecoming for me to return to the Xenakis fold. It was in this period that I came to know personally Xenakis and his circle. The people I met in his circle included his wife Françoise, his agent Radu Stan (1928–2021) (Editions Salabert), his editor Sharon Kanach, the arts patron René Schneider, the composers Michel Phillipot (1925–96), François-Bernard Mâche (b. 1935), and Jean-Claude Eloy (b. 1938), and the CEMAMu team including Jean-Michel Raczinski, Gérard Marino, and Marie-Hélène Serra.

Of course, Xenakis was the famous maestro, and I was an acolyte. I did not work directly for him but was rather a part of the team at Les Ateliers UPIC, working in parallel with the CEMAMu.

In my interactions with Xenakis, what struck me about him is that he was direct, unassuming, and without pretense. He exuded an aura of comradeship, rather than elitism. My impression was that the team at the CEMAMu was lucky to have such a benevolent boss.

As a composer, Xenakis was always more radical than me. In 1994 I was present at the Paris premiere of his electronic composition *S.709* (1994) at the auditorium of Radio France. This piece is the raw output of his experimental GENDYN stochastic synthesis algorithm. The sound is harsh and abrasive, and the structure is bizarre. It is not one of my favorites, but I must admit that it is completely original in both concept and result.

By contrast, I abandoned algorithmic composition in my youth because I found that I was more interested in beautiful sounds than in beautiful algorithms.

Today I use algorithms for sound synthesis but rely on handicraft in the editing and mixing processes to stitch all the parts into a cohesive whole. For example, my piece *Then* (2016) was the result of over five hundred submixes in the period from 2010 to 2016.

Granular Synthesis

The most direct connection between Xenakis and me is granular synthesis. It was, of course, Xenakis's concept. I found it in his book *Formalized Music* (1971). He cited Dennis Gabor (1900–79) (1946, 1947) as the source of the scientific theory. Years later in Paris, Xenakis gave me a copy of Hermann Scherchen's (1891–1966) journal *Gravesaner Blätter* with his 1960 article on granular synthesis. It is a prized possession.



Fig. 25.10 Gravesaner Blätter, title page of issue 18 (1960).

In March 1974, I transferred to UCSD (University of California at San Diego) in order to use their facilities for computer sound synthesis. The researcher Bruce Leibig had recently installed the MUSIC V program on a mainframe computer housed in the UCSD Computer Center.⁷ The dual-processor Burroughs B6700 was an advanced machine for its day, but sound synthesis was difficult, due to the state of input and output technology.⁸

I managed to test a first implementation of digital granular synthesis in December 1974. For this experiment, called *Klang-1*, I typed each grain specification (frequency, amplitude, duration) on a separate punched card. A stack of about eight hundred punched cards corresponded to the instrument and score for thirty seconds of granular sound. Following this laborious experience, I wrote a program in the Algol language to generate thousands of grain specifications from compact, high-level descriptions of clouds. Using this program, I realized a six-minute study called *Prototype* (1975). These were the earliest manifestations of granular synthesis by computer.

Les Ateliers UPIC

Next, we skip to another point of encounter with Xenakis. The story of Les Ateliers UPIC is told in the book *From Xenakis's UPIC to Graphic Notation Today*, which is a free download from ZKM Karlsruhe.⁹



Fig. 25.11 Les Ateliers UPIC (1995). Music historian Harry Halbreich (1931–2016), Curtis Roads, Brigitte Robindoré, Iannis Xenakis, Gerard Pape. Photographer unknown.

9 Weibel, Brümmer, and Kanach, 2020.

⁷ Mathews, 1969.

⁸ Roads, 2001.

As previously mentioned, in 1993 Gerard Pape asked me to teach at Les Ateliers UPIC. I already knew the UPIC system, but this was a new version that ran on a Windows computer with a dedicated hardware synthesizer, enabling it to synthesize sound in real-time.

Les Ateliers UPIC was a small organization supported by the French Ministry of Culture and the city of Massy, outside Paris. I became the director of pedagogy and led a year-long course. The course was a general introduction to computer music, based on my textbook *The Computer Music Tutorial*, which was in production at MIT Press.¹⁰

I also managed to conduct research, in particular the development of the first standalone app for granular synthesis: Cloud Generator (1995). It was written by me with John Alexander, a student at Les Ateliers UPIC. Cloud Generator was developed specifically for teaching granular synthesis. It has a simple user interface that enables a musician to generate a single cloud of sound at a time, which was saved to a file. These sound files could later be assembled into a piece using a digital audio workstation like Pro Tools.





Fig. 25.12 Cloud Generator (1995) by Curtis Roads and John Alexander.

I demonstrated Cloud Generator to Maestro Xenakis. He said it was "not terrible." Knowing him, I took this as a compliment.

After I showed Cloud Generator to Xenakis's publishing agent, Radu Stan of Editions Salabert, Stan slipped me a cassette of *Analogique A & B* (1959), which was Xenakis's first attempt to realize pure sinusoidal granular synthesis using analog sine wave generators and tape. I had never heard it before. So, twenty-one years after my first experiments, I finally heard the original granular synthesis!



Fig. 25.13 Analog cassette of Analogique A & B (1959). Photo by author.

One of the highlights of my experience at Les Ateliers UPIC was a concert organized by Gerard Pape at the Salle Olivier Messiaen of Radio France in Paris in 1994. This included the full Acousmonium setup of forty-eight loudspeakers. Upmixing my music on the Acousmonium made an indelible impression.

After I became a professor at UCSB in 1996 I returned to Paris annually to teach at the UPIC center (renamed the Centre de Création Musicale "Iannis Xenakis" or CCMIX) until 2007. It was through CCMIX that I met Luc Ferrari (1929–2005) and Bernard Parmegiani (1927–2013), among others. Indeed, Les Ateliers UPIC/CCMIX was an open door to many artists.

Continuing the Granular Model at UCSB

In Santa Barbara I have continued to advance the granular model. My 1997 constant-Q granulator, written in SuperCollider, was the first program to apply an individual bandpass filter to each grain. This is what I call *per-grain processing*, where each grain has its own envelope, waveform, amplitude, frequency, spatial position, filter center frequency, and resonance. Per-grain processing is essential to create heterogeneous and multidimensional textures. The constant-Q granulator was the core tool used to

make my album POINT LINE CLOUD (2005, reissued 2019).

In 2005, my graduate student David Thall coded the EmissionControl granulator, which implemented my concept of per-grain processing but also added a modulation matrix for automatic low-frequency oscillator (LFO) control of certain parameters over meso-time scales. A ramp function, for example, might modulate grain density over a period of a minute, while the user adjusted other parameters manually on shorter time scales.

Another important research direction has been the creation of an analytical counterpart to granular synthesis, called *atomic composition*.¹¹



Fig. 25.14 Screenshot of SCATTER. Figure created by author (2009).

We built a prototype time-frequency editor called SCATTER, but such a model could be taken much further.

Meanwhile, the original EmissionControl granulator ran only on old Apple G5 computers, so it was time to create a new version. The original goal was simply to recreate EmissionControl for modern computers. As we proceeded however, it became clear that EmissionControl2 went far beyond the earlier program.

In October 2020, we released the new EmissionControl2 or EC2. EC2 is designed as a laboratory instrument for research in granular synthesis. As a laboratory instrument, EC2 enables detailed control over the vast parameter space of granular synthesis for the composition of extreme granular textures and gestures. In the summer of 2022, we published a second version, which adds Open Sound Control (OSC) functionality.

¹¹ Sturm et al., 2009.

Audio Sound Files MIDI Control Preferences View Help	GINE STOP													
GRANULATION CONTROLS	MODULATION CONTROLS													
0 100 1 942 Hz	6	900 1 Grain Rate	1E03 ¥ 0	000			0.999			1.000				
0.000 0.565	1	000 2 Asynchronicity	LEO1 V 0	000			0.000		-	1.000				
0.000 0.075	1	000 3. Intermittency	LF01 V 0	000			0.000			1.000				
1 12		20 4. Streams	LF01 ¥ 0	000			0.000		_	1.000				
-2.000 0.210	2	000 5. Playback Rate	LF06 ¥ 0.	.000			0.582			1.000				
60.000 440.000 Hz	50	00.00 6. Filter Center	LF01 V 0.000 0.000							1.000				
0.000	1.	000 7. Resonance	LF01 ¥ 0.000						_	1.000				
1 Coacervate.wav		3 8. Sound File	LF01 ¥ 0.000 0.000							1.000				
0.000 0.234	1.	.000 9. Scan Begin	LF01 V 0.000 0.000							1.000				
-1.000 0.099	1.	000 10. Scan Range	LF01 🔻 0.	.000			0.000			1.000				
-32.000 -32.000	32	.000 11. Scan Speed	LF01 🔻 0.	.000			0.974			1.000				
0.042 345.537 ms	10	00.00 12. Grain Duration	LF01 V 0.000							1.000				
0.000 0.962	1.	.000 13. Envelope Shape	LF01 🔻 0.	.000			0.000			1.000				
-1.000 -1.000	1.	.000 14. Pan	LF05 🔻 0.	.000			1.000			1.000				
-60.000 9.297 dB	24	.000 15. Amplitude	LF01 🔻 0.	.000			0.000			1.000				
PRESETS	RECORDER		LEO CONTRO	OLS										
Ameri Brent and	Output File Name		LF01 Noise	V BI V	0.010		36.078 Hz		41.500					
Current Preset: none 0 1 2 3 4 5 6 7 8 9 10 11	Output File Name:		LF02 Square	▼ BI ▼	0.010		1.000 Hz		30.000					
12 13 14 15 16 17 18 19 20 21 22 23	Record Overwrite			Duty			0.327		-					
24 25 26 27 28 29 30 31 32 33 34 35	Writing to:		LF03 Rise	▼ BI ▼	0.010		0.444 Hz		3.200					
 Store 0.00 s Morph Time 	/home/rondo/		LFO4 Fall	▼ BI ▼	0.010		1.000 Hz		6.600					
default V Preset Map +	Change Output Path		LFO5 Noise	▼ UNI+ ▼	0.010		23.142 Hz		30.000					
			LFO6 Noise	▼ BI ▼	0.010		88.930 Hz		100.000	>				
SCAN DISPLAY														
ACTIVE GRAINS	OSCILLOSCOPE								VU					
Counter: 27	Time Frame (s):			1.000										

Fig. 25.15 Screenshot of EmissionControl2. Figure created by author (2021).

The main features of EC2 are:

- Per-grain signal processing.
- Granulation of *N* sound files simultaneously.
- Up to 2048 simultaneous grains.
- Synchronous and asynchronous grain emission.
- Intermittency control.
- Modulation control of all parameters by six LFOs with extreme ranges.
- Real-time display of peak amplitude, active grains, waveform, scan range, scanner, and grain emission.
- Scalable graphical user interface (GUI) and font size.
- Easy mapping of parameters to any MIDI or OSC continuous controller.
- Algorithmic control of granulation via OSC scripts.
- Unique filter design optimized for per-grain synthesis.
- Unlimited user presets with smooth interpolation over time for gestural design.
- Open-source code and free to download.

Since its release, EmissionControl2 has been downloaded by over 7300 musicians around the globe.

Finally, I should mention my recent book *The Computer Music Tutorial, Second Edition*, which presents Xenakis's Free Stochastic Music program and devotes chapters to granular synthesis and atomic decomposition of sound.¹²

Conclusion

Xenakis said that he composed in order to feel less miserable.¹³ This is an excellent reason. But the effect of music goes beyond oneself. Composition is a service to humanity. Through music, a composer can make other people feel less miserable.



Fig. 25.16 Plaque outside Xenakis's Paris residence. "Member of the resistance, political refugee, composer." Photo by Mu (2010), Wikimedia Commons, CC BY-SA 3.0, https://commons.wikimedia.org/wiki/File:Plaque_Iannis_Xenakis,_9_rue_Chaptal,_Paris_9.jpg#/ media/File:Plaque_Iannis_Xenakis,_9_rue_Chaptal,_Paris_9.jpg

- 12 Roads, 2023.
- 13 Lohner, 1986, p. 54.

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